



# Office of the Australian Information Commissioner

International Access to Information Day



### Welcome and opening

Elizabeth Tydd

**Australian Information Commissioner** 



### **Welcome to Country**

Violet Sheridan

Senior Ngunnawal Elder



### International Access to Information Day – realising the value of information as a national resource

Gayle Milnes
National Data Commissioner

September 2024

### Australia's rich data assets

#### Person Level Integrated Data Asset (PLIDA)

\*formerly MADIP

Census of Population and Housing Australian Census **Lonaitudinal Dataset** DOMINO Centrelink Registries Death Administrative Data Visa information & Data citizenship arants Data Exchange Traveller data Payment Summary FAMILIES & ATO Client **HOUSEHOLDS** National Disability Register SOCIAL Insurance Scheme SUPPORT **MIGRANTS** Personal Income Tax Survey of Disability, Return Ageing, and Carers **INCOME &** Single Touch DISABILITY **PMP TAXATION** Pavroll **Business Ownership** Australian Immunisation Reaister **EDUCATION** HEALTH Apprentice & Trainee National Health Survey Pharmaceutical Benefits Total VET activity Scheme Australian Early Medicare Consumer **Development Census** Directory Medicare Benefits **Higher Education** Schedule

#### **Business Longitudinal Analytical Data Environment (BLADE)**

An economic data tool to provide a better understanding of the Australian economy and businesses performance over time.

BLADE currently contains data on all active businesses from 2001-02 to 2018-19. sourced from:

- ABS Business Register
- Australian Taxation Office: Business Activity Statements (BAS), Business Income Tax (BIT) filings and Pay as You Go (PAYG) summaries
- ABS surveys: Business Characteristics Survey (BCS), Management Capabilities Module (MCM), Economic Activity Survey (EAS), Business Expenditure on Research and Development (BERD), Private Non-Profit Expenditure on Research and Development (PNPERD), Survey of Research and Experimental Development, Government (GOVERD)
- Intellectual Property Australia: Intellectual Property Longitudinal Research Data (IPLORD)
- Department of Foreign Affairs and Trade Merchandise trade data

#### National Health Data Hub

\*formerly NIHSI

Data available from 2010-11 onwards from the following data sources:



Nationals Aged Care Data Clearinghouse



Australian Immunisation Reaister



Pharmaceutical Benefits Scheme



Hospital data



Medicare Benefits Schedule



National Death Index



### Using data to deliver better government policies, programs and services





Why do we need a New Vehicle Efficiency Standard?

Currently







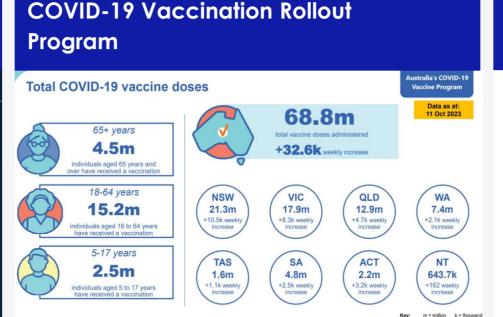
New cars in Australia use 20% more fuel than those in the US



#### Transport emissions

will become the largest source of greenhouse gas emissions by 2030, if we do nothing





#### **JobKeeper Scheme**

Utilising existing Australian Taxation Office data through:

- Single Touch Payroll (STP)
- Business activity statements (BAS)

The government established the JobKeeper stimulus payment to assist Australian individuals and business owners significantly impacted by COVID-19.

### Data Availability and Transparency Act Scheme



Data Availability and Transparency Act 2022

Provides a legislative authorisation and override with appropriate safeguards



National Data Commissioner



National Data Advisory Council

#### **DATA Scheme Safeguards**

#### **Data Sharing Purposes**

Sharing of Australian Government data must be in the public interest, and for one of three purposes:

- aovernment service delivery
- informing government policy and programs
- research and development.

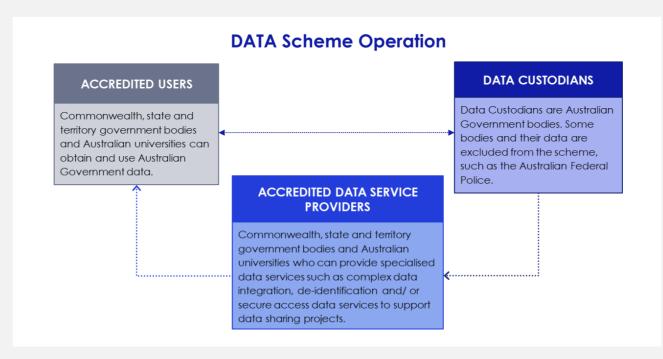
Data cannot be shared for the purpose of enforcement or compliance.

#### Accreditation

- Commonwealth, state and territory government bodies and Australian universities can be an Accredited User or Accredited Data Service Provider
- The Minister or National Data Commissioner may grant accreditation.

#### **Data Requests**

 Data Custodians have no duty to share but must provide reasons to Accredited Users if refusing a data sharing request.



#### **Data Sharing Principles**

- Data Sharing Principles must be applied to all data sharing.
- The Principles manage data sharing risk by applying controls on the project, people, setting, data and output.

#### **Privacy Protections**

- The DATA Scheme works with the *Privacy Act 1988* to protect personal information.
- The privacy protections minimise the sharing of personal information, prohibit the re-identification and storage of data or access of personal information outside Australia.
- Express consent is always required to share biometric data.

#### **DATA Scheme Safeguards**

#### **Regulation and Compliance**

- National Data Commissioner handles complaints, conducts monitoring and assessment activities and investigations.
- National Data Commissioner can issue directions and seek civil and/or criminal penalties.

#### Transparency and Reporting

National Data Commissioner must:

- Keep public registers of Data Sharing Agreements, Accredited Users and Accredited Data Service Providers.
- Prepare an Annual Report on participation and operation of the DATA Scheme.

#### **Data Sharing Agreements**

- Arrangements, including the application of the data sharing principles, must be documented in a Data Sharing Agreement.
- Agreements that meet scheme requirements must be registered by the National Data Commissioner to take effect.









#### Dataplace

A digital platform for scheme participants and others to manage data requests and support administration of the DATA Scheme

### **DATA Scheme Activity**

Dataplace Users	Australian Government Data Catalogue	Accredited Data Users	Accredited Data Service Providers	Data Sharing Requests	Data Sharing
104 organisations completed onboarding	59,591 records available on the Australian Government Data Catalogue  16 agencies contributing records directly from their data inventory	28 data users accredited	12 data service providers accredited	data requests under the Scheme  23 general requests made through Dataplace	data sharing agreements under the Scheme  11 general data sharing

Australian Government Office of the National Data Commissioner | ONDC

### **Accredited Entities**

#### **Australian Government Agency**

- Attorney-General's Department
- Australian Bureau of Statistics
- Australian Commission on Safety and Quality in Healthcare
- Australian Institute of Family Studies
- Australian Institute of Health and Welfare
- Australian Securities and Investments
  Commission
- Department of Education
- Department of Employment and Workplace Relations
- Department of Finance
- Department of Health and Aged Care
- Department of Industry, Science and Resources
- Department of Infrastructure, Transport, Regional Development, Communications and the Arts
- Department of Social Services
- Department of the Treasury
- Productivity Commissioner

#### **State or Territory Government Agency**

- ACT Chief Minister, Treasury and Economic Development Directorate
- Department of Health WA
- NSW Department of Customer Service
- MSW Health Administration Corporation
- NSW Ministry of Health
- Queensland Department of Transport and Main Roads
- Queensland Health
- **L** Queensland Treasury
- Victorian Department of Health
- Western Australian Department of the Premier and Cabinet

#### **Australian University**

- Monash University
- The University of Adelaide
- The University of Melbourne
- The University of New South Wales
- The University of Queensland
- The University of Sydney
- The University of Western Australia

#### Key

Accredited Data User Only

Accredited Data Service Provider Only

Accredited as a data user and a data service provider

### **More Information**

#### Australian Government Data Catalogue and Dataplace

Search the Catalogue to find data assets held by Australian Government agencies and use Dataplace to make requests for Australian Government data.



www.dataplace.gov.au

#### **Subscribe to DataPoints**

Data news and updates from the Commissioner straight to your inbox!



www.datacommissioner.gov.au/subscribe

#### **DATA Scheme Guidance and Info Sessions**

Public resources and registration links to our monthly webinars.



www.datacommissioner.gov.au/resources

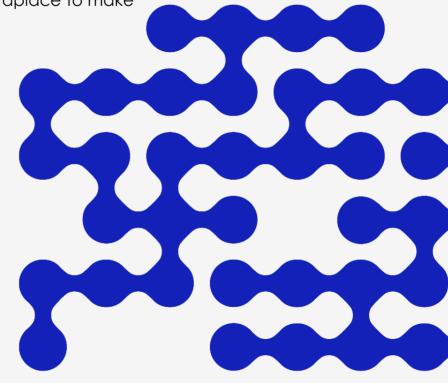
#### **Contact the ONDC**



information@datacommissioner.gov.au



https://www.datacommissioner.gov.au/contact-us



#### Follow us on LinkedIn for data news



@Office of the National Data Commissioner







# Addressing public expectations of use of AI in government

Chris Fechner
Chief Executive Officer

dta.gov.au

# **Australian Context**

FOI allows individuals to see what information government holds about them, and to seek correction of that information if they consider it wrong or misleading.

FOI enhances the transparency of policy making, administrative decision making and government service delivery.

A community that is better informed can participate more effectively in the nation's democratic processes.

The Information Publication Scheme (IPS) encourages Australian Government agencies to release information to the public proactively. It is intended to encourage greater openness and transparency in government and reflects the pro-disclosure goals of the Freedom of Information Act 1982 (FOI Act).

# **Australian Context**

Australians expect that Government will adopt Al.

Australians do not have a high degree of trust that Government can use AI and avoid harms.

There is apprehension from Government agencies and what they can and should use AI for.

With rapidly evolving capabilities in AI, Government agencies are struggling to build and maintain expertise.







### **Australian Al Ethics**

#### Human, societal and environmental wellbeing

Al systems should benefit individuals, society and the environment.

#### **Human-centred values**

Al systems should respect human rights, diversity, and the autonomy of individuals.

#### **Fairness**

Al systems should be inclusive and accessible and should not involve or result in unfair discrimination against individuals, communities or groups.

#### Privacy protection and security

All systems should respect and uphold privacy rights and data protection and ensure the security of data.

#### Reliability and safety

Al systems should reliably operate in accordance with their intended purpose.

#### Transparency and explainability

There should be transparency and responsible disclosure so people can understand when they are being significantly impacted by AI and can find out when an AI system is engaging with them.

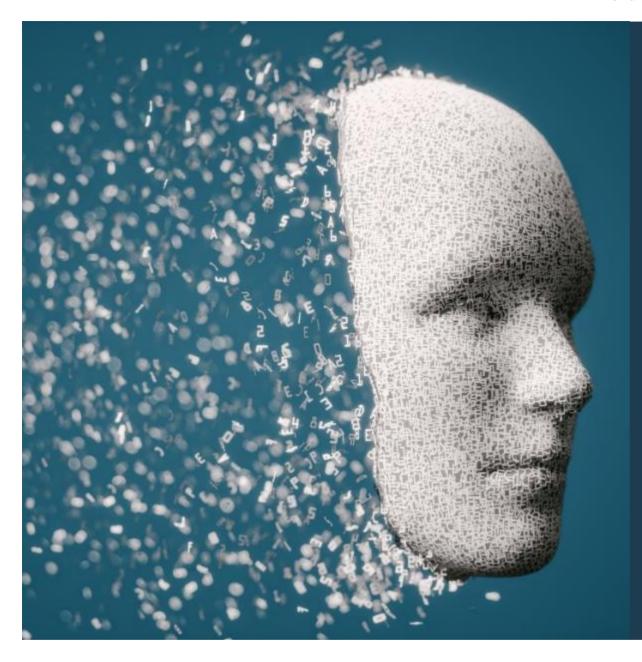
#### Contestability

When an AI system significantly impacts a person, community, group or environment, there should be a timely process to allow people to challenge the use or outcomes of the AI system.

#### **Accountability**

People responsible for the different phases of the AI system lifecycle should be identifiable and accountable for the outcomes of the AI systems, and human oversight of AI systems should be enabled.





## Responsible use of Al in government policy

#### What

Policy governing use of all forms of AI in the public sector

#### Who

All non-corporate Commonwealth entities

#### When

From 1 September 2024

#### Where

digital.gov.au









### **Embrace** benefits

- This policy provides a unified approach for government to engage with AI confidently, safely and responsibly, and realise its benefits.
- The adoption of AI technology and capability varies across the APS.
- This policy is designed to unify government's approach by providing baseline requirements on governance, assurance and transparency of AI.
- This will remove barriers to government adoption by giving agencies confidence in their approach to AI and incentivising safe and responsible use for public benefit.

### Strengthen public trust

- This policy aims to strengthen public trust in government's use of AI by providing enhanced transparency, governance and risk assurance.
- One of the biggest challenges to the successful adoption of AI is a lack of public trust around government's adoption and use.
- Lack of public trust acts as a handbrake on adoption.
   The public is concerned about how their data is used, a lack of transparency and accountability in how AI is deployed and the way decision-making assisted by these technologies affects them.
- This policy addresses these concerns by implementing mandatory and optional measures for agencies, such as monitoring and evaluation of performance, be more transparent about their Al use and adopt standardised governance.

### Adapt over time

- This policy aims to embed a forward leaning, adaptive approach for government's use of AI that is designed to evolve and develop over time.
- Al is a rapidly changing technology and the scale and nature of change is uncertain.
- This policy has been designed to ensure a flexible approach to the rapidly changing nature of AI and requires agencies to pivot and adapt to changes in the technological and policy environment.



# Policy expectations on use of Al in Government

Accountable Officials

Transparency of application by agencies

Knowledge and training

Commonwealth Al Assurance Framework

### Al Usage

Decision making and administrative action

**Analytics for insights** 

Workplace productivity

**Image processing** 

### **Al Application Domains**

**Service Delivery** 

**Compliance and Fraud Detection** 

Law Enforcement, Intelligence and Security

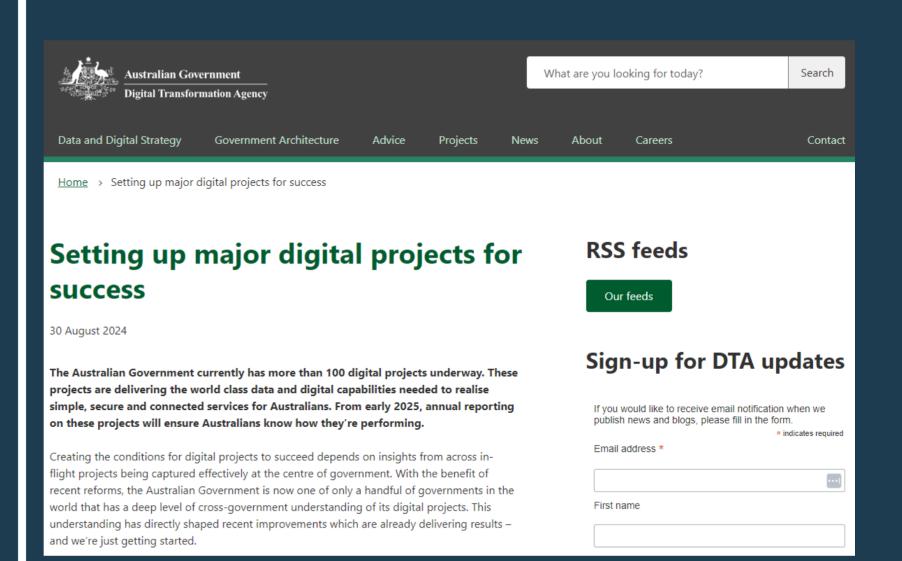
**Policy and Legal** 

Scientific

**Corporate and Enabling** 



### Major Digital Projects Annual Report









### **Chris Fechner**

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dta.gov.au

# Amanda Cattermole CEO Australian Digital Health Agency

25 September 2024

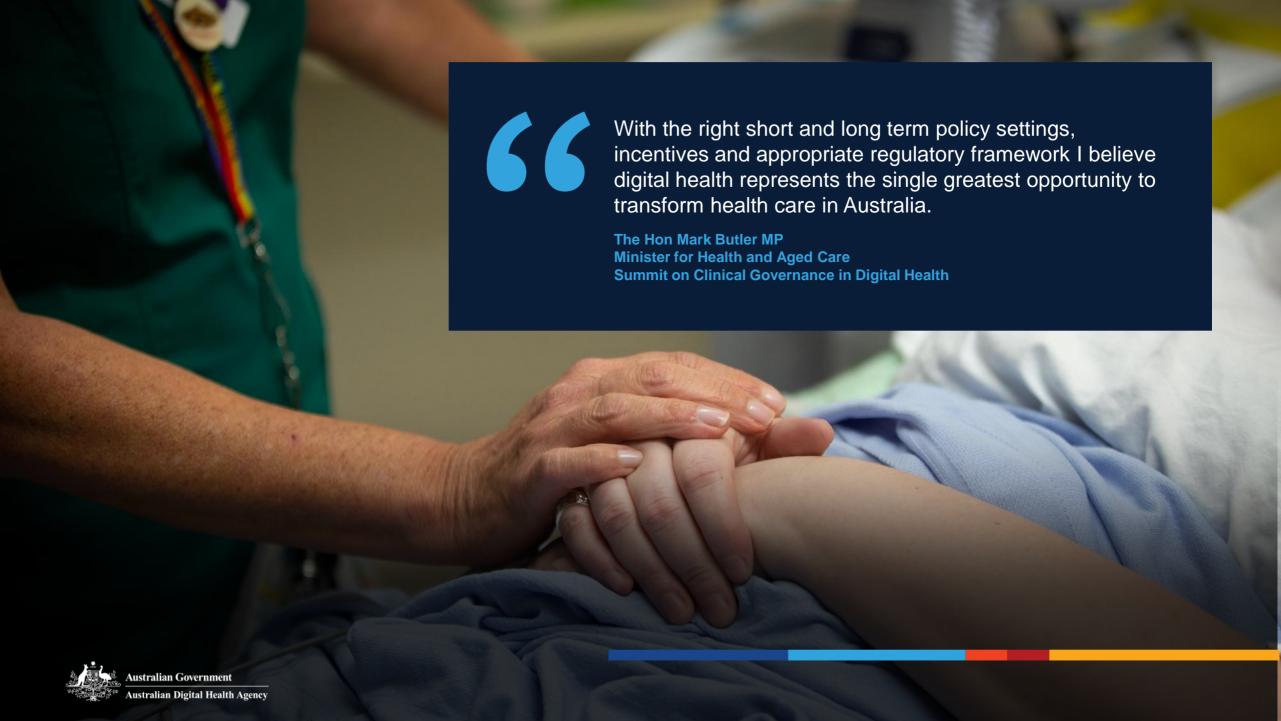


# **Acknowledgement** of Country



The Australian Digital Health Agency acknowledges the Traditional Custodians of Country throughout Australia, and their continuing connection to land, sea and community. We pay our respects to Elders past and present.





### My Health Record – A legislative foundation for information sharing



Ensures **consumers have choice** and control and can be appropriately represented



Provides a dedicated framework **balancing data sharing**needs with consumer privacy





Mitigates potential risks through **robust criteria for participation** and proportionate sanctions



Additional **protections and controls which reflect community expectations** in an opt-out operating
environment

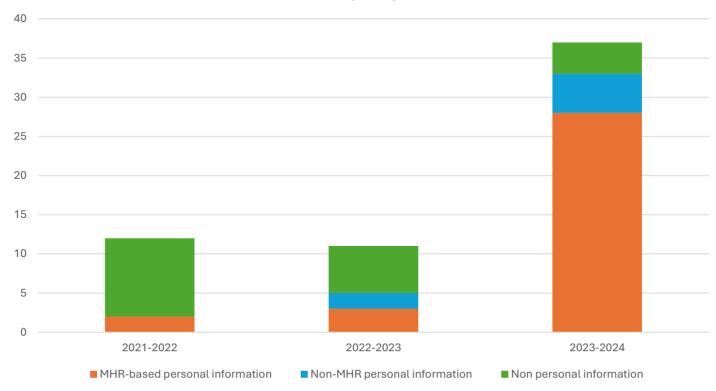


Governance arrangements that **separate data custody**, **operations and** between entities with the right expertise



### Freedom of Information (FOI) at the Agency





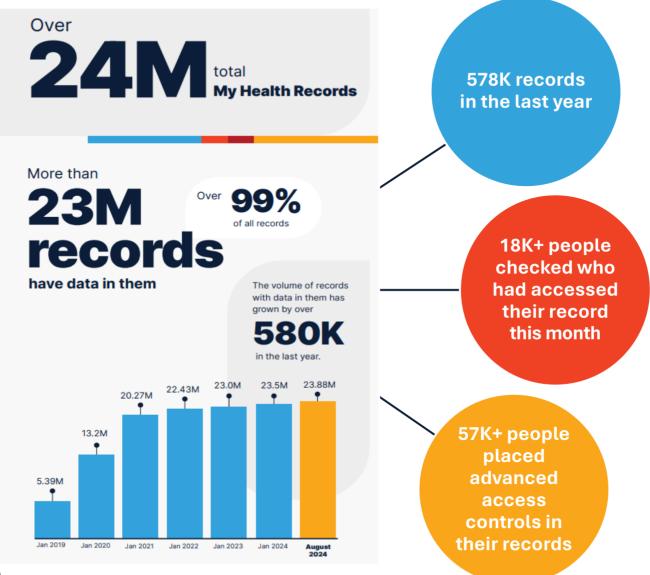


### Digital health adoption continues to grow



More info here (as of August 2024) Statistics (digitalhealth.gov.au)





More info here (as of August 2024)
<u>Statistics (digitalhealth.gov.au)</u>



# The future of health is digital

Consumers want access to their health information

Healthcare providers want digital innovations to deliver integrated and high-quality care

Governments are seeking better outcomes from their investments and to ease pressure on the health system and workforce



86%

of consumers want to use digital technologies to access information during healthcare events



It will make it a lot easier if all of our medical records are in one place that all health services that have access can get. I hate explaining my health background all the time or I end up seeing a new GP etc slows down consult'

Consumer survey respondent





**70%** 

of healthcare providers want digital innovation to deliver integrated and high-quality health care



We need better communication and capabilities to access information from various providers so we can spend less time on admin and more time on patient centred care.

Health provider - individual; survey respondent



Source: Australian Digital Health Agency (2021), National digital health survey 2021, Australian Digital Health Agency, Canberra.

### Sharing by default reporting to My Health Record



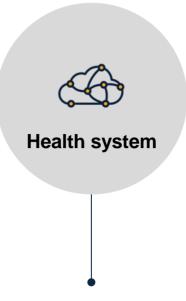
#### Consumer

Improved continuity of care Avoidance of duplicate diagnostic services saving time and money



#### **Healthcare providers**

Reduce the time required to gather key information Support better decisionmaking at the point of care



#### **Health system**

Reduced cost burden from unnecessary duplication Improves the safe and highquality care provided by healthcare professionals



WEB: digitalhealth.gov.au

**EMAIL:** help@digitalhealth.gov.au

PHONE: General enquiries 1300 901 001

My Health Record Helpline 1800 723 0471

in Australian Digital Health Agency

@AuDigitalHealth

@AuDigitalHealth



Freedom of Information:
Trends, Priorities and Looking Forward

Toni Pirani

Freedom of Information Commissioner



### Overview of the FOI landscape

- Commissioners' and FOI Priorities
- Statistics and jurisdiction update
- 2023 Information Publication Scheme Review
- FOI Practitioners' Survey
- New guidance, tools and resources
- Recent IC review decisions

### Commissioners' priorities

OAIC

- 1. Promote Open Government to better serve the Australian community
- 2. Increase OAIC FOI regulatory and case management effectiveness
- 3. Uplift agency capability in the exercise of FOI functions
- 4. Make FOI compliance easier



### Freedom of information priorities

Priority 1 – Promote
Open Government to
better serve the
Australian Community

Increase public participation in government decision making

Ensure that information held by Government is managed for a public purpose and is a national resource

Priority 2 – Increase OAIC
FOI regulatory
effectiveness

Reduce the backlog of IC reviews and improve timeliness

Enhance the regulatory impact of IC reviews

Priority 3 – Uplift of agency capability

Improve first instance decision making

Identify and elevate practitioner capability gaps

Priority 4 – Make FOI compliance easier for agencies

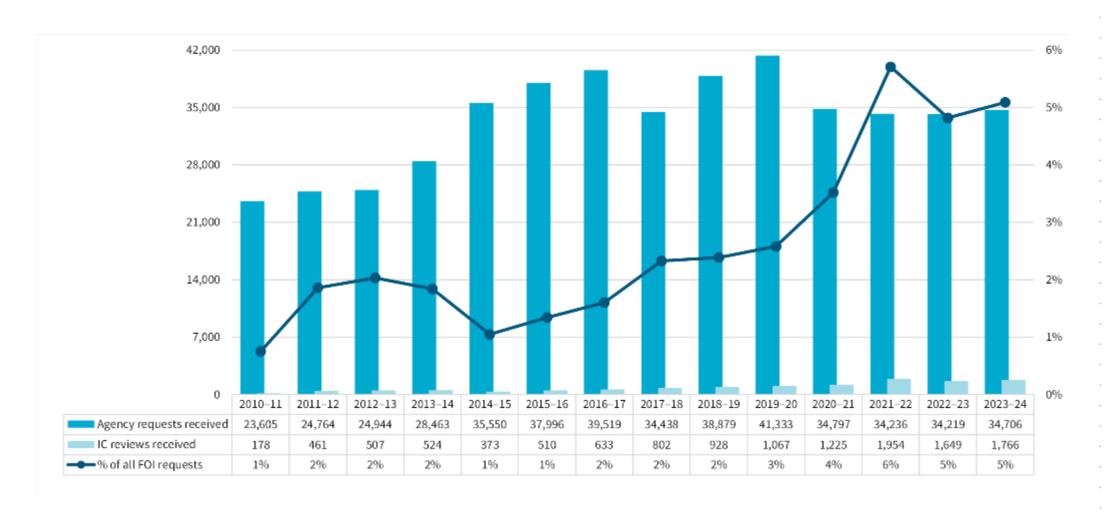
Facilitate public reporting and agency self-assessment and reporting

Promote low cost, high impact compliance practices

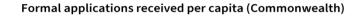
### IC reviews on hand

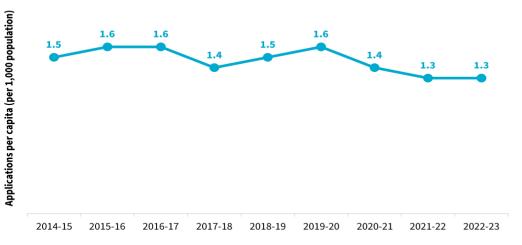


### IC reviews received - as a percentage

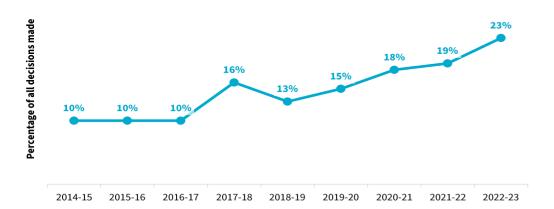


### Agency Statistics - Australian Government FOI statistics (2014 to 2023)

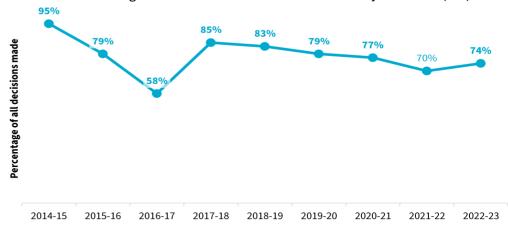




### Percentage of all decisions made on formal applications/pages where access was refused in full (Cth)

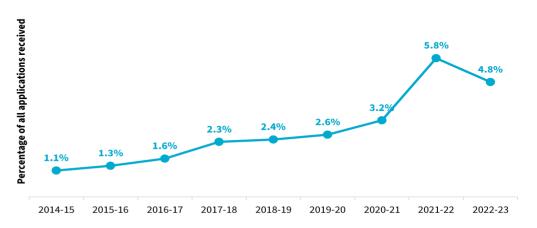


#### Percentage of all decisions made within the statutory timeframe (Cth)



\* Data not available for QLD, and only available from 2017-18 for WA and NT

#### Percentage of applications received which are reviewed by the jurisdiction Information Commissioner (Cth)



### 2023-24 Australian Government FOI jurisdiction update

- 34,706 FOI requests received
- 72% of all requests sought access to personal information and 28% 'other' (non-personal) information
- 21% of all requests were granted in full. 55% of all requests were granted in part and 24% of all requests were refused
- **Personal privacy (s 47F) most applied exemption** (39% of all exemptions claimed) followed by ss 47E, 47C, 38 and 37
- **15% fewer practical refusal notices issued 2023-24** (2,450 notices). 38% of these requests were subsequently processed
- 15% less collected in charges
- 74% of requests decided in time
- **2,481 new entries added to agency disclosure logs -** however only 75% of these made documents available to the public directly from agency websites
- **7% decrease in internal review** applications with **55%** of internal reviews **affirming** the original decision.

# Information Publication Scheme (IPS) Review 2023

Compliance with the IPS is an ongoing statutory responsibility under the FOI Act

Results showed continued commitment to the IPS

Results also provide persuasive evidence that there is much to be done to realise the objects of the FOI Act in managing government-held information for public purposes and as a national resource



#### **Information Publication Scheme**

# 2023 agency survey findings

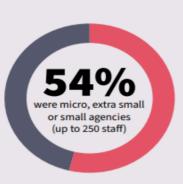
#### About the survey



196

Australian Government agencies (94%) participated in the IPS agency survey in 2023

↑ up from 190 (82%) in 2018





15%

conducted a review of the operation of their IPS in conjunction with the Information Commissioner prior to participating in the survey

↑ up from 6% in 2018



62%

have an officer who participates in the OAIC's Information Contact Officers Network

↑ up from 53% in 2018

The survey showed a continued strong commitment across the Australian Government to the IPS

#### **Accessibility of IPS documents**

82%

indicated that most or all IPS documents conform to Web Content Accessibility Guidelines 2.0

- ↑ up from 69% in 2018
- ↑ up from 50% in 2012

IPS governance and administration

**78%** 

have appointed a senior executive officer to lead IPS compliance in their agency



90% have published an agency IPS plan

on their website

82%

said they **don't charge** for information required or permitted to be published under the IPS

- ↑ up from 73% in 2018
- ↑ up from 68% in 2012

#### Agencies were most likely to publish:



Annual reports (99%)



Functions and decision-making powers (97%)



Contact details to access information on agency websites (97%)



Organisation structure (96%)



Information released in response to FOI requests via an agency disclosure log (95%)

#### Information Publication Scheme

#### Information Publication Scheme

# 2023 agency survey findings

#### Areas for improvement



#### 1. Strategy

Have a strategy to increase open access to information.

Around a third of agencies currently have such a strategy in place (29%, down from 35% in 2018).



This strategy should actively identify information that can be published in compliance with privacy and secrecy requirements.



#### 3. Identify connections

Identify connections between information released under FOI, information published on the disclosure log and what should be routinely published.

Less than half of agencies have mechanisms for identifying other information that can be published under the IPS (42%, down from 59% in 2018 and 72% in 2012).



#### 5. Training

Use training to increase the culture of proactive publication.

Only 55% of agencies have assigned responsibility for the management or coordination of staff training and awareness of IPS obligations.



#### 2. Asset management

Invest in information asset management.

Only 20% of agencies maintain an IPS information register (down from 38% in 2018) and only 34% of the remaining agencies intend to develop one in the next 12 months (down from 53% in 2018).



Establishing and maintaining an information register was the single most commonly identified challenge agencies faced in publishing information (36%, up from 18% in 2018).



#### 4. Publish proactively

Consider the categories of information published proactively.

Agencies were least likely to publish:

- consultation arrangements for the public to comment on agency policy proposals (75%, up from 72% in 2018 but down from 86% in 2012)
- information in documents to which agencies routinely give access in response to FOI requests (73%, down from 79% in 2018 and 86% in 2012).



#### 6. Promote feedback mechanisms

Promote how to make a complaint or provide feedback.

67% of agencies' websites provided information about how to complain about an agency's IPS entry. 87% of agencies did not receive any complaints specifically related to the IPS in 2022–23.



## **FOI Practitioners' Survey**



**147** Australian Government agencies (71%) completed the OAIC FOI Practitioners' Survey



**82%** of agencies reported the FOI Guidelines were the most used resource to assist them in performing FOI Act functions



**Over half** of agencies indicated they use OAIC resources at least weekly (32%), fortnightly (13%) or monthly (13%).

# **FOI Practitioners Survey – training findings**

Most agencies use **Informal, on the job training** (79%) and **Internal Resources** (69%) to provide FOI Act training within their agency, while 42% provide this training via the AGS and 39% via their internal legal services.

84% per cent of agencies are subscribed to receive the OAIC's ICON alerts.

The three most frequently used OAIC resources are **FOI Guidelines** followed by the **FOI Essentials Toolkit** and general **website publications** (3.29).

Agencies considered certain resources more helpful including: fact sheets/aids to explain specific issues, templates, e-learning modules, flow charts and process guidance.

More than **60%** of agencies reported subject matter guidance would assist most

- Conditional exemptions (73%)
- Exemptions (71%)
- Decision making (68%)
- Procedural requirements (64%)
- Practical refusals (63%).



# **OAIC** existing guidance includes

- FOI Guidelines
- IC review practice documents
- Revised IC review Procedure Directions
- Checklists, handouts and templates
- Sample FOI notices
- Video 12 tips for decision makers

- FOI Essentials Toolkit
- Range of general resources see
   Freedom of information guidance for government agencies webpage
- Webinar series for FOI practitioners
- ICON and Information Matters

### New and upcoming OAIC Guidance, Tools and Resources

- FOI Guidelines updates
- Information Publication Scheme 'individual agency reports'
- Agency Self-Assessment Tool
- E-learning modules
- New practice guidance for ministerial offices and agencies supporting ministerial offices
- Upcoming webinars on complaints and vexatious applicant declarations



### **Information Commissioner review decisions**

### Recent decisions covering:

- Adequacy of searches (s 24A)
- Charges (s 29)
- Practical refusal reason (s 24AA)
- Documents of a Minister
- Irrelevant matter and removal of staff names (s 22)
- Legal professional privilege (s 42)
- Secrecy provisions (s 38)
- Conditional exemptions including (s 47F)

### IC review decisions

- Searches: Trevor Kingsley Ferdinands and Department of Defence (Freedom of information) [2024] AICmr 182 (29 August 2024)
- Charges: 'ABX' and Department of Veterans' Affairs (Freedom of information) [2022] AICmr 57 (29 July 2022) (ABX)
- Cost of calculating and collecting charge: 'ALN' and Department of Home Affairs (Freedom of information) [2024] AICmr 136 (1 July 2024) (ALN)
- Practical refusal: 'AMX' and Department of Foreign Affairs and Trade (Freedom of information) [2024]
   AlCmr 177 (AMX)
- **Documents of a Minister:** Paul Farrell and the Prime Minister of Australia (Freedom of information) [2024] <u>AICmr 183</u> (30 August 2024)
- Secrecy provisions: 'AFV' and Services Australia (Freedom of information) [2023] AICmr 125 (14 December 2023) (and related matters) (AFV)
- Legal Professional Privilege: 'ACV' and Tertiary Education Quality and Standards Agency (Freedom of information) [2023] AICmr 3 (1 February 2023) (ACV).

### IC review decisions and what agencies are doing well

- Agency's engagement with the OAIC is generally productive
- Agencies often process requests in accordance with the OAIC decision – rather than seeking AAT review
- Agencies often incorporate learnings into their FOI processes
- Agencies are seen to be engaging productively with applicants
- Some agencies are proactively facilitating access outside FOI
- IC reviews for *charges* decisions has *dropped*
- The OAIC has seen a reduction in the number of Complaints received.

# Tips for the IC review process

- Effectively communicate with applicants at the decision stage
- Keep comprehensive records of searches undertaken and provide to the OAIC as early as possible
- Provide revised submissions based on recent IC review decisions
- Ensure estimates in practical refusals are accurate and supported by evidence
- Don't assume the OAIC is an expert in your agency's secrecy provisions and be consistent
- Consider providing access even in circumstances where exemptions may apply.



# Thank you

oaic.gov.au

